



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT



In re Application of: Tong Sun, et al.

Attorney Docket No.: KCX-436B (15569B)

Serial No.: 10/022,823

Date: March 6, 2003 HS

Filed: December 18, 2001

Art Unit: 1731

Confirmation No.: 3789

Our Account No.: 04-1403

Title: Polyvinylamine Treatments To Improve Dyeing Of Cellulosic Materials

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

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The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
3 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
  - i. ☐ Certification per Rule 97(e); OR
  - ii. ☐ Filing Fee per Rule 17(p) .....\$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
  - i. ☐ Certification per Rule 97(e); AND
  - ii. ☐ Filing fee per Rule 17(p) .....\$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
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Reg. No: 50,244

Signature: 

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(Rev. 5/92)	Attorney Docket Number	Serial Number
Information Disclosure Statement List	KCX-436B (16659B))	10/022,823
B. Applicant	Applicant	
Under 37 CFR Section 1.98(a) (1)	Sun, et al.	
(Use several sheets if necessary)	Filing Date:	Group
	December 18, 2001	1731
	Confirmation No.:	
	3789	

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

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- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
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     Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
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U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME		PATENT NUMBER						ISSUE DATE	COPY NOTE
<i>ME</i>	Espy		3	7	2	8	2	1	4	04/17/1973
<i>ME</i>	Lauzon		6	3	1	5	8	2	4	11/13/2001

FOREIGN PATENT DOCUMENTS														
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE
											YES	NO	N/A	
ME	GB	1	0	4	0	0	3	6	08/24/1966			X		

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	
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	<i>3.3.03</i>	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		